Attorney Docket No. 35740XYCD

## PATENT APPLICATION

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the patent application identified as follows:

Applicant:

Title:

Steven E. Koenck

Application No.:

10/663,444

Filing Date:

September 16, 2003

METHOD

AND APPARATUS

FOR OPTICALLY

READING INFORMATION

Group Art Unit:

Examiner:

2876 Thien Minh Le

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Pursuant to 37 CFR 1.97, and in accordance with Applicant's duty of disclosure under 37 CFR 1.56, Applicant hereby presents the attached Information Disclosure Statement (Form PTO/SB/08A). The attached Information Disclosure Statement is filed to identify potentially pertinent references. No admission or representation is made that a search has been performed or that the references are or are not pertinent or usable as prior art. The references speak for themselves. Due to the Office's waiver of the requirement for submitting a copy of the U.S. Patents, and also since all of the cited references were

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also cited in the parent application (Application No. 10/041,687, filed January 8, 2002), copies of the cited references are not being submitted herewith.

The Commissioner is hereby authorized to charge Deposit Account No. 14-1190 in the amount of any fees generated by this filing. A Fee Transmittal form authorizing payment of fees and a two-page PTO/SB/08A form (substitute for form 1449/PTO) accompany this Transmittal Letter. Consideration of the submitted references is courteously solicited.

Respectfully submitted,

September 20, 2004

Michael F. Williams, Reg. No. 39,875

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& Ellwood, P.L.C.

115 Third Street SE, Suite 1200 Cedar Rapids, Iowa 52401-1266

(319) 366-7641

Accompanying:

Information Disclosure Statement Form PTO/SB/08A (2 pages)

PTO/SB/08A (08-03)

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Approved for use through 07/31/2008. OMB 06519-031

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Under the Paperwork Reduction Act of 1995, no persons are required to h	Complete if Known		
Substitute for form 1449/PTO	Application Number	10/663,444	
	Filing Date	09/16/2003	
INFORMATION DISCLOSURE	First Named Inventor	Steven E. Koenck	
STATEMENT BY APPLICANT	Art Unit	2876	
(Use as many sheets as necessary)		Thien Minh Le	
	Attorney Docket Number	35740XYCD	

Sheet	11	of 2		Attorney Docket Number   35/40/	1700
Sileet	<u> </u>		II & BATENT	DOCUMENTS	
Draminer	Cite No,1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (* Assum) US- 3,801,775	04-02-1974	Norbert Karl Acker	
		US- 4,588,882	05-13-1986	James J. Buxton	
		US- 4,691,367	09-01-1987	Klaus Wevelsiep	
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	-	US- 4,988,852	01-29-1991	Kalyan V. Krishnan	
	-	US- 5,093,653	03-03-1992	Kimimasa Ikehira	· ·
	-	US-5,128,526	07-07-1992	Hirokazu Yoshida	
	╁──	US- 5,136,145	08-04-1992	James L. Kamey	
	<del>                                     </del>	<sup>US-</sup> 5,155,343	10-13-1992	Chandler et al.	
	+-	<sup>US-</sup> 5,200,598	04-06-1993	Stephen C. Rencontre	
	+-	U8- 5,245,676	09-14-1993	A. Lawrence Spitz	
	-	U\$- 5,387,883	01-03-1995	Batterman et al.	
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	+-	us- 5,576,529	11-19-1996	Koenck et al.	
	+-	US- 5,804,805	09-08-1998	Koenck et al,	
	+-	US- 5,837,987	11-17-1998	Koenck et al.	

Examiner Cite Initiats* No.1		Foreign Patent Document	Publication Date  MM-DD-YYYY	Name of Patentee of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
	-	Country Code* Number * Nand Code* (if Imown) EP 0384955 B1	09-05-1990	•	
	-	JP 55-115166	09-04-1980		
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Considered \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional). \*See Kinds Codes of considered. Include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.usgfo.gov">www.usgfo.gov</a> or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For USPTO Patent Documents, the indication of the year of the reign of the Emperor must precede the sarial number of the patent document. \*Kind of document by Japanese patent documents, the indication of the year of the reign of the Emperor must precede the sarial number of the patent document. \*Kind of document by Japanese patent documents, the indication of the year of the reign of the Empire of the patent document, by the sarial number of the patent document. \*Kind of document by Japanese patent documents, the indication of the year of the reign of the Empire of the patent document. \*Standard ST.18 if possible. \*Applicant is to place a check mark here if English banguage that the patent document is the patent document. \*Patent Standard ST.18 if possible.\*\*

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Substitute for form 1449/PTÖ	Co.		
	Application Number	10/663,444	
INCORMATION DICCLOSURE	Filing Date	09/16/2003	
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(Use as many sheets as necessary)	Examiner Name	Thien Minh Le	
	Attorney Docket Number	35740XYCD	

			U. S. PATENT	DOCUMENTS	
Examiner Initiala"	Cite No.1	Document Number  Number-Kind Code <sup>2 fr Insura</sup>	Publication Date MN-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columna, Lines, Where Relevant Passages or Relevant Figures Appear
	<del>                                     </del>	US- 5,880,451	03-09-1999	Smith et al.	
		<sup>US-</sup> 5,914,481	06-22-1999	Danielson et al.	
		US- 5,926,015	07-20-1999	Robert S. Pharr	
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		<sup>US-</sup> 6,138,915	10-31-2000	Danielson et al.	
		<sup>US-</sup> 6,250,551	06-26-2001	He et al.	
		<sup>US-</sup> 6,347.163	02-12-2002	Alexander R. Roustaeti	
		<sup>US-</sup> 6,405,925	06-18-2002	He et al.	
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